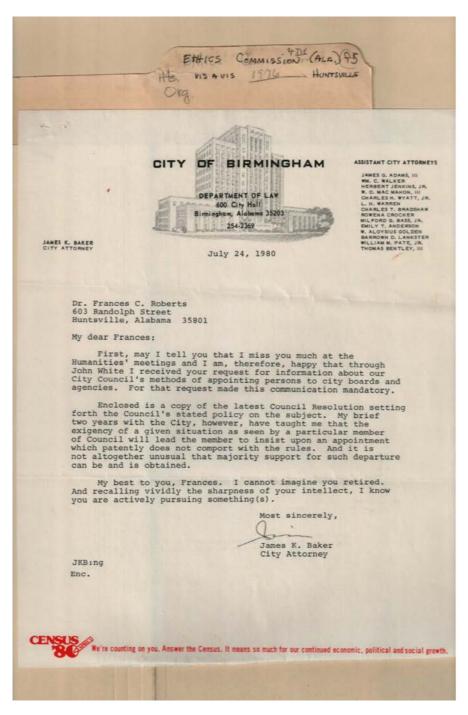
Frances Cabaniss Roberts Collection: Series 4, Subseries D, Box 1, Folder 5 Alabama Ethics Commission

Image 1 r04d01-05-000-0023 Contents Index About



Names:

Adams, James G., III Anderson, Emily T. Baker, James K. Bass, Milford G., Jr. Bentley, Thomas, III

Places:

Birmingham, AL

Types:

correspondence

Dates:

Bradshaw, Charles T. Crocker, Rowena Golden, W. Aloysius Lankster, Barrown D. Mahon, W. O. Mac, III Pate, William M., Jr. Roberts, Frances C., Dr. Walker, William C. Warren, L. H. White, John Wyatt, Charles H., Jr. Jul 24 1980

Frances Cabaniss Roberts Collection: Series 4, Subseries D, Box 1, Folder 5Alabama Ethics CommissionImage 2r04d01-05-000-0024ContentsIndexAbout



BE IT RESOLVED by the Council of the City of Birmingham that Resolution No. 1192-74, adopted on September 17, 1974, be hereby amended to read as follows: WHEREAS, independent boards and agencies appointed by the Council of the City of Birmingham oversee and administer a substantial portion of the public resources of the City of Birmingham and perform an important policy making function of material consequence to the citizens of the community; and

WHEREAS, it is the goal of the Council of the City of Birmingham that members of the independent boards and agencies appointed by the City Council shall to the fullest extent possible, be representative of all segments of the community.

NOW. THEREFORE, BE IT RESOLVED by the Council of the City of Birmingham, Alabama that in the furtherance of the aforesaid goal, it shall be the policy of this Council to adhere to the following procedures in exercising its appointive powers and duties:

(1) On the first Tuesday of each month, the Presiding Officer of the City Council shall announce and a list shall be made available to members of the public through the Office of the City Clerk, all positions on boards and agencies appointed by the City Council which are then currently wacant or are expected to become wacant during the next calendar month, together with the name, address, and years of service at the end of the term of the incumbent and any statutory qualifications prescribed for the position, and invite mominations from the public and all officials interested therein to be submitted to the office of the City Clerk, within ten (10) days from said first Tuesday. <u>The Office of the City Clerk shall also make a copy</u> available to the public and to the Department of Community Development for distribution to Neighborhood Officers in the Citizen Participation Program.

(2) On the third Tuesday of each month the list of names of the persons proposed or nominated for appointment to fill all then currently existing vacancies or vacancies which are expected to occur during the next calendar month shall be announced by the Presiding Officer of the City Council and referred to the proper City Council Committee for study and recommendation to the full Council.

(3) The City Council Committee to which any list of the names of persons proposed or nominated for appointment is referred shall, within three weeks of the date on which said list is referred to said committee, cause to be placed on the

31

Names:

Board Appointments

Places:

Birmingham, AL

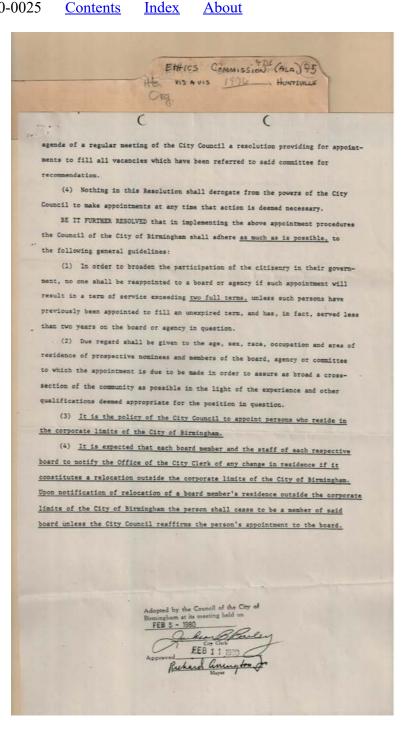
Types:

resolution

Dates:

Sep 17, 1974

Frances Cabaniss Roberts Collection: Series 4, Subseries D, Box 1, Folder 5Alabama Ethics CommissionImage 3r04d01-05-000-0025ContentsIndexAbout



Names:

Arrington, Richard, Jr.

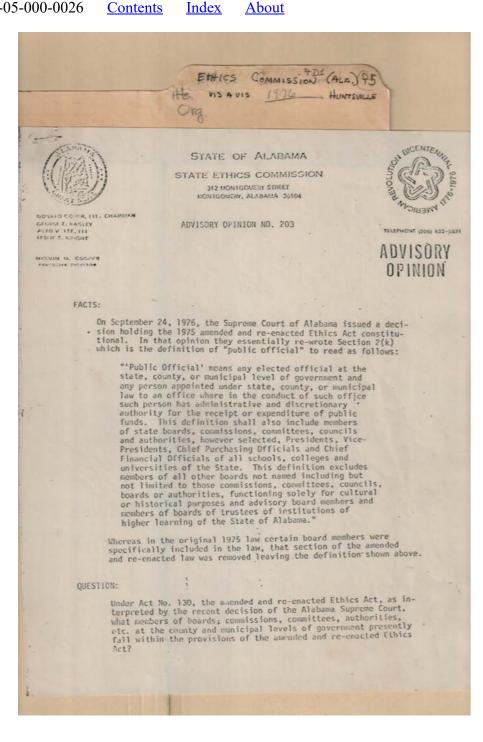
Types:

resolution

Dates:

Feb 11, 1980

Bailey, Johnson B. Board Appointments



Advisory Opinion #203

Places:

Montgomery, AL

Types:

opinion

Frances Cabaniss Roberts Collection: Series 4, Subseries D, Box 1, Folder 5 Alabama Ethics Commission

Image 5 r04d01-05-000-0027	Contents	<u>Index</u>	<u>About</u>
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	Org.
1	
1	ADVISCRY OPINION NO. 203
	Page Two
	OPINION:
	The members of the Alabama Ethics Commission believe that if they are to fully abide by the decision of the Alabama Supreme Court, all members of boards, commissions, committees, authorities, etc. at the county and municipal levels who exercise any administrative and discretionary authority over the receipt or expenditure of public funds must comply with the Alabama Ethics Act. Accordingly, members of the following boards, commissions, committees, authori- ties, etc. or other agencies which perform similar functions come within the purview of the law: (1) city and county boards of education; (2) city and county utility boards; (3) city and county parks and recreation boards; (4) city and county housing boards; (5) regional planning commissions; (6) public hospital boards; and (7) city and county library boards.
(-	Boards existing strictly for advisory, cultural, or historical purposes remain excluded. The provisions of the Ethics Act will apply to the aforementioned board members regardless of the popu- lation of their county or municipality.
	AUTHORITY:
	Vote of members of the Commission on
-	George E. Bagley Chairman Alabama Ethics Commission
*1	
1	
E E	

Names:

Advisory Opinion #203

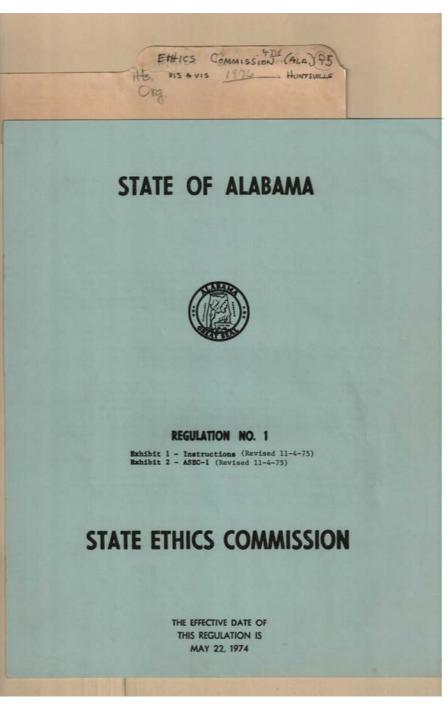
Places: Montgomery, AL

Types:

opinion

Bagley, George E. Board Appointments





Alabama Ethics Commission

Types:

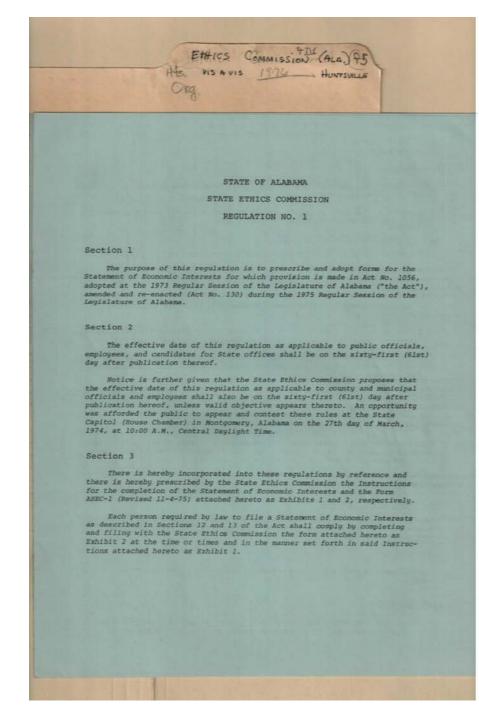
booklet

Dates:

May 02, 1974

Regulation

Frances Cabaniss Roberts Collection: Series 4, Subseries D, Box 1, Folder 5Alabama Ethics CommissionImage 7r04d01-05-000-0029ContentsIndexAbout



Names:

Ethics Commission Regulation # 1

Types:

booklet

Dates:

Mar 27, 1974

	EtHics	COMMISSIO	TDI (ALA.) 45
	HE, VISAUL	s 19776	- HUNTSVILLE
I	N S T R U C T I tement of Economic	tons	EXHIBIT 1
WHO MUST FILE	and or accounter	interests	(Revised 11-4-
Employees:			
State, county and municipal their governmental employments	employees who recont.	eive more than	\$15,000 per year f
Any employee regardless of ity for the receipt or expe	salary who has adm nditure of public :	inistrative and funds.	i discretionary aut
Elected and Appointed Of	ficials:		
All elected officials at th			
All appointed officials at have administrative and dis public funds.	the State anust		
All appointed members of St authorities.	ate boards, commiss	sions, committe	es, councils and
All Presidents, Vice Presid Officials of all schools, c	ents, Chief Purchas Olleges, and univer	ing Officials, sities of the	and Chief Financi. State of Alahama
Excluded are members of star cultural or historical purp higher learning.			
Excluded are all other board cultural or historical purp stitutions of higher learning	ises, advisory bow	ding boards funds, and boards	mctioning solely for of trustees of in-
Candidates:			
Candidates for State office laration of candidacy or pr dates for county and munici			
HEN TO FILE			
Employees and Officials:			
Each year, State, county an than April 30. <u>New employe</u> (10) days.	d municipal officia es or newly appoint	als and employe ted officials m	es must file no la must file within te
Candidates:			
The Statement of Economic I candidate.	nterests must be fi	iled within (10)) days of becoming
WHERE TO FILE			
The completed Form ASEC-1 (State Ethics Commission, 31	Revised 11-4-75) s! 2 Montgomery Street	hould be mailed t, Montgomery,	l or delivered to: Alabama 36104.

Economic Interests Instruction Sheet

Places:

Montgomery, AL

Types:

booklet

Dates:

Nov 04, 1975

Frances Cabaniss Roberts Collection: Series 4, Subseries D, Box 1, Folder 5

Alabama Ethics Commission Image 9

r04d01-05-000-0031 **Contents** Index <u>About</u>

	State Ethics Commission 312 Montgomery Street STATEMENT OF ECON Montgomery, Alabama 36104 FORM ASEC-1	(Revised)
sumsund	I. Personal Information A. Status of Reporting Person: Candidate Slected (Check all appropriate Diocks) Employee REFORTING PERSON A. Name: (Last) (First) (Middle) Social Security Number: Nome Address: Job Title: Business Address:	DEC 1 1976 Government Position State or Title: County Date Assumed Nunicipal Present Position: SPOUSE Name: (Last) (First) (Middle) Social Security Number: Nome Address: Principal Employment: Dusiness Address:
the construction of the second	List each occupation, in addition to that listed under Sec given during previous year: <u>PEPORTING PERSON</u> Occupation: Mddress: Employer: <u>Employer:</u> Address: <u>Address:</u>	stion B, to which one-third or more of working time was SPOUSE Occupation: Employer: Address: Coccupation: Employer: Address: Address:

Names:

Economic Interests Form

Places:

Montgomery, AL

Types:

form

Dates:

Dec 01, 1976

Frances C	Cabaniss Roberts Collect	ion: Ser	ies 4, Sub	series D, I	Box 1, Folder 5
Alabama	Ethics Commission				
Image 10	r04d01-05-000-0032	Contents	<u>Index</u>	<u>About</u>	

(Education, Highway, Menta manufacturing concerns, pa ceived during the proceedin counts, and any other sour	rtnerships, etc., g taxable year.	in the	State of A	labama fro	om which any income wa	s earned or re-	
	Write In Income Source	Check	Appropriat	e Block	Write Yes	or No	
List Primary Source Of Income And All Other Sources Of In- come Earned Or Received In The State Of Alabama	Salary, Fees, Commissions, Dividends, Profits, Rent, Interest, Trust, Other	Less than \$1,000	\$1,000 but less than \$10,000	\$10,000 or more	Did you, your spouse or your dependents hold 10% or more of outstanding stock?	Did you or your spouse serve as officer, director, trustee or con- sultant earning more than \$1,000?	
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2.		-				Contract of the second	OB .
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7.	- 6	-	-				1 Price
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9.			12.00				1
10.						and a fallender	Howrsw
B. List any businesses not re but held 10% or more of th	ported above in w	which you book;	i or your s	pouse or d	Sependent children rece	lived no income	HUNTSVILLS
1							

Economic Interests Form

Places:

Montgomery, AL

Types:

form

Dates:

Dec 01, 1976

Frances Cabaniss Roberts Collection: Series 4, Subseries D, Box 1, Folder 5Alabama Ethics CommissionImage 11r04d01-05-000-0033ContentsIndexAbout

Name and Relationship	Addrona	Principal Occupation	Name of Employer/Business	Dusiness Address
	AND DECEMBER OF THE OWNER.			
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	a state of the second			Contraction of the local division of the loc
		the state of the s		No. of Concession, Name
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and the state				
Section of the Section of the				A State of the second
		Carl Strange		
	(A)	ttach Additional Shee	ts If Necessary)	

Names:

Economic Interests Form

Types:

form

Frances Cabaniss Roberts Collection: Series 4, Subseries D, Box 1, Folder 5 **Alabama Ethics Commission** Image 12 r04d01-05-000-0034 Contents Index <u>About</u>

dependent children.	ll businesses operating 1	In Alabama on	December 31.	include debts of	your spouse and	
				F INDEBTEDNESS		
Type	Number Of Businesses To Which Indebted	Less than \$25,000	(Check App At least \$25,000 but less than \$50,000	Topriate Blocks) At least \$50,000 but less than \$100,000	\$100,000 or more	
A. Banks						-
B. Savings and Loan Associations		1 Hint C	1, 191.		and bland	- E
C. Insurance Companies				The second second	- Constanting	-
D. Mortgage Firms						
E. Stock Brokers						12 1.1
F. Other Businesses						
G. Individuals		-				
VII. Declaration of Reporting I, have (Name of Reporting Person) Statement of Economic Interests, For- swear (or affirm) that the informati- ment of Economic Interests is true a stand that anyone who violates any p- No. 130 of the Regular Session of the shall be subject to a fine of not mo- prisonment for not more than 10 year	read as completed, this m ASEC-1(Revised), and d on contained in said Sta nd correct. I fully und rovision of Alabama Act e Alabama Legislature, 1 re than S10,000 or to im	te- er- 975,	Date Received Preliminary C Complete: Incomplete No	heck By: Incomplete: tice Mailed: formation Receiv		

Names:

Economic Interests Form

Types: form

Frances Cabaniss Roberts Collection: Series 4, Subseries D, Box 1, Folder 5Alabama Ethics CommissionImage 13r04d01-05-000-0035ContentsIndexAbout

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					ROM :		
1. BANKS.	2. STOCKS AND BONDS.		NCLUDED	INCOME F			
2. STOCKS AND BONDS.		E II		INCOME F			
3. SALE OF PROPERTY, BOTH REAL AND PERSONAL.		/E	ANKS.				
4. YOUR PERSONAL BUSINESS.		/E 1. Bi 2. Si 3. Si	ANKS. TOCKS AND BON ALE OF PROPER	NDS. RTY, BOTH RE	al and pei	RSONAL.	
	5. DIVIDENDS. 6. MORTGAGES.	IE II 1. Bi 2. S ³ 3. Si 4. Yi	ANKS. TOCKS AND BON ALE OF PROPER OUR PERSONAL	NDS. RTY, BOTH RE	al and pei	RSONAL.	

Names:

Economic Interests Instruction Sheet

Types:

booklet

Frances Cabaniss Roberts Collection: Series 4, Subseries D, Box 1, Folder 5Alabama Ethics CommissionImage 14r04d01-05-000-0036ContentsIndexAbout

COMMISSION (ALA.) EtHICS VIS A UIS 1976 HUNTSVILLE HB 1g State of Alabama State Ethics Commission 312 Montgomery Street Montgomery, Alabama 36104 TO: POSTMASTER:

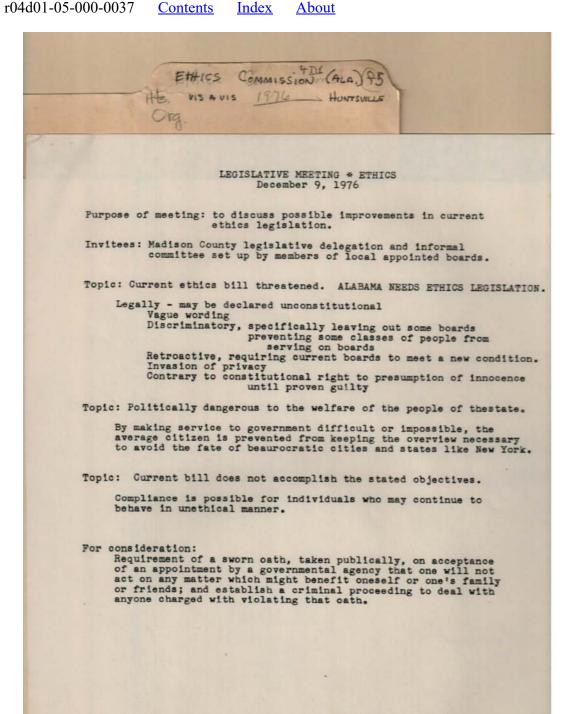
Names:

Ethic Commission

Types:

booklet

Frances Cabaniss Roberts Collection:Series 4, Subseries D, Box 1, Folder 5Alabama Ethics CommissionImage 15r04d01-05-000-0037ContentsIndexAbout



Names:

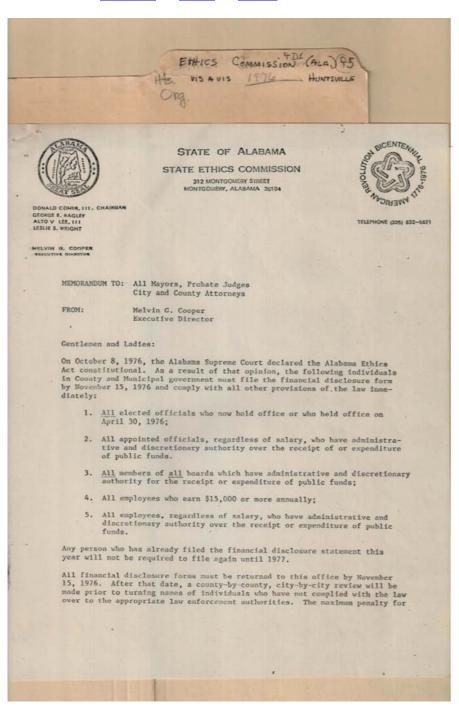
Ethics Legislative Meeting

Types:

agenda

Dates:

Dec 09, 1976



Bagley, George E. Comer, Donald, III

Types:

memorandum

Dates:

Oct 08, 1976

Cooper, Melvin G. Lee, Alto V., III State Ethics Commission Wright, Leslie S.

Frances Cabaniss Roberts Collection: Series 4, Subseries D, Box 1, Folder 5Alabama Ethics CommissionImage 17r04d01-05-000-0039ContentsIndexAbout

EHHICS COMMISSION (ALA 1976 VIS A UIS HUNTSVILLE All Mayors, Probate Judges, City and County Attorneys Page 2 violating the State Alabama Ethics Act is \$10,000 fine, 10 years in jail or a combination of the two. The members of the Ethics Commission simply do not wish to turn the name of one single person over to any law enforcement agency but they are bound to carry out the law. If we can be of any assistance to you or if you need additional copies of the Statement of Economic Interests, please let us know. Sincerely, 11 231. Melvin G. Cooper Executive Director MCG/fmh

Names:

Cooper, Melvin G.

Types:

memorandum

Frances Cabaniss Roberts Collection: Series 4, Subseries D, Box 1, Folder 5 **Alabama Ethics Commission** Image 18 r04d01-05-000-0040 Contents Index About

COMMISSION (ALA.) EHHICS VIS A UIS 1976 HUNTSVILLE Ing FOR RELEASE NOVEMBER 11, 1976 FOR PURTHER INFORMATION CONTACT Loretta Spencer - 5331158 At the regular meeting tonight of the City Council, Dr. Frances C. Roberts will appear to ask for improvements in the Alabama Ethics legislation. She will present the conclusions of the overwhelming majority of citizens who serve as unpaid members of Huntsville's public boards, commissions, authorities, and committees. These public bodies were recently notified of their inclusion under the ethics legislation. After study of the bill itself and of the advisory opinion rendered by the Alabama Ethics Commission, members of these bodies have concluded that the legislation is so poorly drawn as to be declared unconstitutional in the near future. If this is the case, the state would be again without ethics legislation. Dr. Roberts will ask that the City Council take all necessary steps to improve this legislation. Pointing out the loopholes in the law, Dr. Roberts says they are obvious even to the layman. She will suggest solutions to the governing body. She says "We want an ethics bill that connot be held unconstitutional and erased." Letters of endorsement from various museum boards, building authorities, boards of control, and commissions will be presented to the Council. According to Dr. Roberts, many of the members of these groupg have already complied with the legislation they question. She says that every group that was contacted by the original study committee enthusiastically joined in the effort. The study committee was self-constituted, unofficial, and had about ten **affirini** official bodies represented at its first meeting when copies of the legislation were distributed. attached is a copy of the remarks to be made by Dr. Roberts. Roberts, Frances C., Spencer, Loretta

Types:

Names:

program

Dr.

Dates:

Nov 11, 1976

Frances Cabaniss Roberts Collection: Series 4, Subseries D, Box 1, Folder 5Alabama Ethics CommissionImage 19r04d01-05-000-0041ContentsIndexAbout

EtHICS COMMISSION (ALA VIS A VIS HUNTSVILLE STATEMENTS FOR COUNCIL MEETING ON NOVEMBER 23, 1976 RE ETHICS It is our understanding via verbal statements of the city attorney that certain appointed city boards have been exempted from filing under the Ethics legislation. This in no way lessens our concern for the improvements in the law. Most of the boards have exhausted administrative remedies by reques ting by letter a ruling from the commission. We repeat our request of t e City Council that action be taken to seek definition of the la w and our relationship to meeting its requirements.

Names:

Ethics for Appointed Boards

Types:

program

Dates:

Nov 23, 1976

on: Series 4, Subseries D, Box 1, Folder 5

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r04d01-05-000-0042 <u>Contents</u> <u>Index</u>



Names:

Cooper, Melvin Crawford, Leon Ehinger, John

Places:

Huntsville, AL

Types:

newspaper

Dates:

Nov 21, 1976

Ethics Law and Elected Officials Glenn, John Mabry, Jane Roberts, Frances, Dr. Stephens, Bill Waters, W. L., Chief Younger, Charles The Huntsville Times

COMMISSION (ALA.) EtHICS HE, VIS AUIS 16 HUNTSVILLE Org MEMORANDUM EMPLOYEES AFFECTED BY ETHICS LEGISLATION MEMBERS OF BOARDS AND COMMITTEES AFFECTED BY ETHICS LEGISLATION TO: FROM: MAYOR JOE W. DAVIS DATE: NOVEMBER 9, 1976 Attached is a communication from the State Ethics Commission which is self-explanatory. Please note that the due date on the filing of the financial disclosure form has been extended from November 15 to December 1, 1976. For your convenience, the appropriate disclosure form is enclosed. Should you need further information, please contact the City Attorney, Charles Younger, at 539-9612, ext. 301. W. Davis JWD/jc Enclosures

Davis, Joe W., Mayor

Types:

memorandum

Dates:

Nov 09, 1976

Ethics for Appointed Boards

Younger, Charles

Frances Cabaniss Roberts Collection: Series 4, Subseries D, Box 1, Folder 5Alabama Ethics CommissionImage 22r04d01-05-000-0044ContentsIndexAbout

4DI ETHICS COMMISSION (ALA VIS A UIS - HUNTSVILLE "The following Boards, Commissions, Committees, and Authorities request that the City Council consider all necessary action, including legal action, to clarify the status of board members under the Alabama Ethics Law and to initiate amendments to the legislation which will exempt board members serving without compensation on such boards." M. Simms 539-6205 Names:

Simms, M.

Types: memorandum Frances Cabaniss Roberts Collection: Series 4, Subseries D, Box 1, Folder 5Alabama Ethics CommissionImage 23r04d01-05-000-0045ContentsIndexAbout

EtHICS COMMISSION (ALA,) AL VISAVIS 1976 HUNTSVILLE Hts, Org Frances, ity you need to call anyone -Im Thracker, Chima VBCC - 534-5104 or Thrules Bil Co -Louth Sperie who has wonked on committee 533-1158 Clipballow, Sieto VACC - 533-1953 or ferment her who will pupper the resolution for presentations to the Council _ Zonice quest -Should gove , micha ill call when me get boald

Names:

, Frances

, Martha

Types:

correspondence

Lee, Tennet Spencer, Loretta Thrasher, Tom Wallace, Cliff

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 Image 10
 (r04d01-05-000-0033)

 Image 11
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 Image 12
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 Image 15
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 Image 16
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 Image 17
 (r04d01-05-000-0039)

 Image 18
 (r04d01-05-000-0040)

 Image 19
 (r04d01-05-000-0041)

 Image 20
 (r04d01-05-000-0042)

 Image 21
 (r04d01-05-000-0043)

 Image 22
 (r04d01-05-000-0044)

 Image 23
 (r04d01-05-000-0045)

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Ethics Legislative Meeting 15 Glenn, John 20 Golden, W. Aloysius 1 Huntsville, AL 20 Lankster, Barrown D. 1 Lee, Alto V., III 16 Lee, Tennet 23 Mabry, Jane 20 Mahon, W. O. Mac, III 1 Montgomery, AL <u>4</u>, <u>5</u>, <u>8</u>, <u>9</u>, <u>10</u> Pate, William M., Jr. 1 Roberts, Frances C., Dr. 1, 18 Roberts, Frances, Dr. 20 Simms, M. 22 Spencer, Loretta 18, 23 State Ethics Commission 16 Stephens, Bill 20 The Huntsville Times 20 Thrasher, Tom 23 Walker, William C. 1 Wallace, Cliff 23 Warren, L. H. 1 Waters, W. L., Chief 20 White, John 1 Wright, Leslie S. 16 Wyatt, Charles H., Jr. 1 Younger, Charles 20, 21

Frances Cabaniss Roberts Collection

Preferred Citation: Frances Cabaniss Roberts Collection, Archives and Special Collections, M. Louis Salmon Library, University of Alabama in Huntsville, Huntsville, AL.

Collection Scope and Content: The Collection of 114 Linear ft. includes a total of 156 Archival Boxes. The Frances Cabaniss Roberts collection covers the historical records of the Cabaniss Roberts family. This collection contains extensive correspondence records of the Cabaniss Roberts family circa 1830 to 1930.

Archives/Special Collections Access Restrictions: None

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